

# GUAM STATE CLEARINGHOUSE

P.O. Box 2950 Hagåtña, Guam 96932

Tel: (671) 475-9380

Website: [www.gsc.guam.gov](http://www.gsc.guam.gov)

Email: [clearinghouse@guam.gov](mailto:clearinghouse@guam.gov)

**EDDIE BAZA CALVO**

*I Maga'låhen Guahan*

**RAYMOND S. TENORIO**

*I Segundu Na Maga'låhen Guahan*

**Kate G. Baltazar**

*Administrator*

May 03, 2013

**HONORABLE JUDITH T. WON PAT, Ed. D.**

*Speaker gi I Mina'Trentai Dos Na Liheslaturan Guåhan*

155 Hesler Place

*Hagåtña, Guåhan 96910*

**Ref:** Governor's Community Outreach- Federal Programs Office's Federal Grant Application Submitted for Intergovernmental Review

*Hafa Adai Madam Speaker,*

This communication is to respectfully notify you the Guam State Clearinghouse (GSC) has received a federal grant application from the Governor's Community Outreach- Federal Programs Office. The GSC has accepted the application, assigned State Application Identifier (SAI) number 20004121019Y, and has initiated the process for an intergovernmental review. Application information is provided below:

**Grantor:** U. S. Department of Justice- Office on Violence Against Women  
**Grant Title:** FY 2013 Sexual Assault Services Formula Grant Program  
**Details:** Funds from this grant program will be used to support the Department of Mental Health and Substance Abuse and the Healing Hearts Crisis Center to help improve services for victims of sexual assault. Funding will be used towards forensic medical examinations, training and technical assistance in coordination with GCO-FPO.  
**Start Date:** 08/01/2013      **End Date:** 07/31/2014  
**Federal Grant:** \$20,841.00

A digital copy of the grant proposal is attached for your perusal. GSC conducts intergovernmental reviews and solicits comments through electronic communication. This notice is sent to you as part of the review process. Please submit any comments pertaining to this application that you may have by **May 17, 2013** to the GSC point of contact, Anna Marie Pangelinan at [anna.pangelinan@guam.gov](mailto:anna.pangelinan@guam.gov)!

*Dangkolo Na Si Yu'os Ma'åse',*

*Kate G. Baltazar*  
**Kate G. Baltazar**  
Administrator

Cc: File

375  
 32-13-375  
 Office of the Speaker  
 Judith T. Won Pat  
 Date 5/10/2013  
 Time 8 AM  
 Received Faith  
\*Email

2013 MAY - 6 AM 10:18



# GUAM STATE CLEARINGHOUSE

P.O. Box 2950 Hagåtña, Guam 96932  
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EDWARD J.B. CALVO  
*I Maga'låhen Guahan*

RAYMOND S. TENORIO  
*I Segundu Na Maga'låhen Guahan*

## Grant Project Application Notice of Intent to Apply for Federal Assistance

GSC FORM REVISED 03/21/2012

| Guam State Clearinghouse Use Only |              |
|-----------------------------------|--------------|
| Date Received:                    | 04/25/2013   |
| Received By:                      | [Signature]  |
| SAI Number:                       | 200041310191 |

Type of Application    New Grant\*    Continuing Grant\*\*    Supplemental Grant\*\*    Other\*  

A.) DUNS Number  

B.) Date  

C.) Applicant/Department Name  

D.) Division  

E.) Applicant Address  

F.) Applicant/Department Point of Contact Information

Contact Person Name      Phone Number  

E-mail Address  

G.) Due Date to Federal Agency  

H.) Federal Funds

a.) Grant  

b.) Other  

I.) Non-Federal, Matching Funds

a.) Local  

b.) In-Kind  

c.) Other  

J.) TOTAL FUNDS  

K.) CFDA/Federal Program Name  

L.) Federal Agency Name  

M.) Federal Agency Address

N.) For Continuing or Supplemental Grants, Please provide the following information:

- a.) Initial Grant Period
- b.) Guam State Clearinghouse SAI Number
- c.) Grant Year This Application Impacts

O.) Has the Federal Funding Agency been notified?  YES  NO

P.) During which Fiscal Year will this program be implemented?

Q.) If the project requires local funding in addition to the federal funding requested, please specifically identify source and rationale:

R.) This program is:  Budgeted - Please identify legal budget authority   
 Non- Budgeted

S.) Will this program require the hiring of additional employees? Is YES, please provide the number of employees (both existing and new) and justification.  YES - Existing  New   NO

T.) List Departments and Agencies that would be affected directly or indirectly by this application

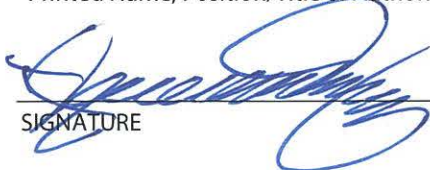
U.) Please provide a Project Summary with supporting documents if needed.

To provide intervention, advocacy, accompaniment, support services, and related assistance to: 1) adult, youth and child victims of sexual assault; 2) family and household members of such victims; and 3) those collaterally affected by the victimization.

- V.) Please answer the following:
- a.) Does this application require an Environmental Impact Study?  YES  NO
  - b.) Will this application conflict with any existing law?  YES  NO
  - c.) Is enabling legislation required?  YES  NO
  - d.) Will the program require a maintenance of effort?  YES  NO
  - e.) Are in-kind services allowed for this program?  YES  NO
  - f.) Does this program allow an indirect cost rate to be applied?  YES  NO

SUBMITTED AND APPROVED BY:

Printed Name, Position/Title of Authorized Representative

  
SIGNATURE

Date



# Governor's Community Outreach - Federal Programs Office

**Eddie Baza Calvo**

Governor

**Ray Tenorio**

Lieutenant Governor

**GCO-FPO**

Cecilia A.Q. Morrison  
Federal Grants Administrator

Dwain P. Sanchez  
Program Coordinator

Evonnie O. Hocog  
Program Coordinator

April 22, 2013

## MEMORANDUM

TO: Ray Tenorio, Lieutenant Governor of Guam  
Chairman, Guam State Clearing House (GSCH)

VIA: *Kate G. Baltazar*  
Kate B. Aguon, Administrator, GSCH

FROM: Acting Federal Grants Administrator

RE: OVW FY 2013 Sexual Assault Services Formula Grant Program (SASP)  
Application No. 2013-X1317-GU-KF, CFDA: 16.017.

Attached for your review and approval is our grant application to compete for funds in the FY 2013 SASP formula grant program. The amount applied for is \$20,841.00.

Please let me know if you have any questions.

*Dwain P. Sanchez*  
Dwain P. Sanchez

Attachment: As stated.



**GUAM STATE  
CLEARINGHOUSE**

APR 25 2013

Notice: Receipt of this document  
"DOES NOT" imply that  
all submission requirements  
have been met.





*Governor's Community Outreach - Federal Programs Office*

**Eddie Baza Calvo**

Governor

**Ray Tenorio**

Lieutenant Governor

**GCO-FPO**

Cecilia A.Q. Morrison  
Federal Grants Administrator

Dwain P. Sanchez  
Program Coordinator

Evonnie O. Hocog  
Program Coordinator

April 22, 2013

Bea Hanson, Acting Director  
U.S. Department of Justice  
Office on Violence Against Women  
145 N Street, NE  
Suite 10W, Room 121  
Washington, DC 20530

Reference: OVW Fiscal Year 2013 Sexual Assault Services Formula Grant Program  
Application No. 2013-X1317-GU-KF, CFDA: 16.017, DUNS: 855 031 741

Dear Acting Director Hanson:

Enclosed for your review and approval is the Territory of Guam's application for funds under the referenced grant program.

I look forward to receiving your favorable response.

Thank you for your time and attention.

Sincerely,

  
Dwain P. Sanchez  
Acting Federal Grants Administrator

Enclosures: As stated.



**Governor's Community Outreach-  
Federal Programs Office (GCO-FPO)**

# Guam

**OVW FY 2013 Sexual Assault  
Services Formula Grant Program**

**2013-X1317-GU-KF**

**CFDA: 16.017**  
**DUNS: 855 031 741**  
**Application Deadline: April 24, 2013**

**Office of the Governor**

513 West

Marine Drive · Ricardo J. Bordallo Governor's Complex · Hagatna, Guam 96910

P.O. Box 2950 · Hagatna, Guam 96932



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## I. SUMMARY DATA SHEET

### A. Authorized Representative

*Name: Cecilia A.Q Morrison*

*Title: Federal Grants Administrator, Office of the Governor, Governor's Community Outreach-Federal Programs Office (GCO-FPO)*

*Mailing Address: POB 2950 Hagatna, Guam 96932*

*Physical Address: 513 West Marine Corps Drive, Ricardo J. Bordallo Governor's Complex, Hagatna, Guam 96910*

*Phone: (671) 475-9162/9178/9179 Fax: (671) 300-7575*

*Email: gcofpo@guam.gov*

### B. Grant Point-of-Contact: Same as above.

### C. Federal Funds expended in FY ending 9/2012 is: \$807,650.73.

### D. Is the State or Territory passing the SASP Formula Grant Program funds through to its sexual assault coalition? No. However, the Guam Sexual Assault and Abuse Resource Center Association (GSAARCA) – a member of the Sexual Assault Coalition and a non-government organization (NGO) is the sole sub-project of the small SASP.

*Name of NGO Contact: Dr. Ellen Bez*

*Title: President, GSAARCA*

*Address: 133 Lagoon Drive, Tamuning, Guam 96913*

*Telephone: (671) 646-3960 or 777-3961*

*Email Address: epbez@yahoo.com*

### E. List of other awards from which OVW from which the State/Territory is a recipient:

1. FY 2010 STOP VAW Formula Grant Program
2. FY 2010 Safe Haven: Supervised Visitation and Safe Exchange Grant Program
3. FY 2010 Community-Defined Solutions to VAW Grant
4. FY 2011 STOP VAW Formula Grant Program
5. FY 2011 SASP VAW Formula Grant Program
6. FY 2012 STOP VAW Formula Grant Program
7. FY 2012 SASP VAW Formula Grant Program

## II. SUMMARY OF CURRENT AND RECENT OVW PROJECTS.

### 1. OVW FY 2010 STOP Violence Against Women Formula Grant Program

STOP 2010-WF-AX-0071

Budget Period: 07/01/10 - 06/30/13

Award Amount: \$658,898.00

GCO-FPO Administrative & Disc.:

| Remaining Funds Available as of March 06, 2013 |          |             |                |              |
|--|----------|-------------|----------------|--------------|
| Personnel                                      | Travel   | Contractual | Other          | Total        |
| 111 & 113                                      | 220      | 230         | 240, 250, etc. |              |
| 0.00   | 2,954.31 | 2,138.82    | 18,594.50      | \$ 23,687.63 |
| * Sub-grants:                                  |          |             |                | \$ 45,291.83 |
| Total:   |          |             |                | \$ 68,979.46 |

### 2. OVW FY 2011 STOP Violence Against Women Formula Grant Program

STOP 2011-WF-AX-0020

Budget Period: 07/01/11 - 06/30/13

Award Amount: \$659,856.00

GCO-FPO Administrative & Disc.:

| Remaining Funds Available as of March 06, 2013 |          |             |                |               |
|--|----------|-------------|----------------|---------------|
| Personnel                                      | Travel   | Contractual | Other          | Total         |
| 111 & 113                                      | 220      | 230         | 240, 250, etc. |               |
| 11,756.59                                      | 7,095.97 | 14,343.88   | 4,843.95       | \$ 38,040.39  |
| * Sub-grants:                                  |          |             |                | \$ 191,265.24 |
| Total:   |          |             |                | \$ 229,305.63 |

### 3. OVW FY 2012 STOP Violence Against Women Formula Grant Program

STOP 2012-WF-AX-0018

Budget Period: 07/01/12 - 06/30/14

Award Amount: \$658,386.00

GCO-FPO Administrative & Disc.:

| Remaining Funds Available as of March 06, 2013 |           |             |                |               |
|--|-----------|-------------|----------------|---------------|
| Personnel                                      | Travel    | Contractual | Other          | Total         |
| 111 & 113                                      | 220       | 230         | 240, 250, etc. |               |
| 110,451.78                                     | 29,624.71 | 22,116.00   | 7,280.00       | \$ 169,472.49 |
| * Sub-grants:                                  |           |             |                | \$ 477,165.29 |
| Total:   |           |             |                | \$ 646,637.78 |

### 4. OVW FY 2011 Sexual Assault Services Formula Grant Program

SASP 2011-KF-AX-0005

Budget Period: 08/01/11 - 07/30/13

Award Amount: \$13,711.00

GCO-FPO.:

| Remaining Funds Available as of March 06, 2013 |        |             |                |             |
|--|--------|-------------|----------------|-------------|
| Personnel                                      | Travel | Contractual | Other          | Total       |
| 111 & 113                                      | 220    | 230         | 240, 250, etc. |             |
| 0.00   | 0.00   | 0.00        | 686.00         | \$ 686.00   |
| * Sub-grants:                                  |        |             |                | \$ 1,878.23 |
| Total:   |        |             |                | \$ 2,564.23 |

**5. OVW FY 2012 Sexual Assault Services Formula Grant Program**

SASP 2012-KF-AX-0005

Budget Period: 08/01/12 - 07/30/14

Award Amount: \$20,841.00

| Remaining Funds Available as of March 06, 2013 |        |             |                    |                     |
|--|--------|-------------|--------------------|---------------------|
| Personnel                                      | Travel | Contractual | Other              | Total               |
| 111 & 113                                      | 220    | 230         | 240, 250, etc.     |                     |
| <b>GCO-FPO:</b>                                | 0.00   | 0.00        | 1,042.00           | <b>\$ 1,042.00</b>  |
|  |        |             | <b>Sub-grants:</b> | <b>\$ 19,799.00</b> |
|  |        |             | <b>Total:</b>      | <b>\$ 20,841.00</b> |

**6. OVW FY 2010 Safe Haven Supervised Visitation and Exchange Grant Program**

Safe Haven 2010-CW-AX-K019

Budget Period: 10/01/10 - 09/30/13

Award Amount: \$400,000.00

| Remaining Funds Available as of March 06, 2013 |           |             |                      |                      |
|--|-----------|-------------|----------------------|----------------------|
| Personnel                                      | Travel    | Contractual | Other                | Total                |
| 111 & 113                                      | 220       | 230         | 240, 250, etc.       |                      |
| <b>GCO-FPO:</b>                                | 50,409.97 | 25,944.30   | 3,629.57             | <b>\$ 79,983.84</b>  |
|  |           |             | <b>* Sub-grants:</b> | <b>\$ 216,035.00</b> |
|  |           |             | <b>Total:</b>        | <b>\$ 296,018.84</b> |

**7. OVW FY 2010 Community-Defined Solutions to VAW (Arrest Grant)**

C-DS 2010-WE-AX-0013

Budget Period: 10/01/10 - 09/30/13

Award Amount: \$400,000.00

| Remaining Funds Available as of March 06, 2013 |          |             |                      |                      |
|--|----------|-------------|----------------------|----------------------|
| Personnel                                      | Travel   | Contractual | Other                | Total                |
| 111 & 113                                      | 220      | 230         | 240, 250, etc.       |                      |
| <b>GCO-FPO:</b>                                | 5,474.00 | 25,762.60   | 11,300.00            | <b>\$ 52,432.60</b>  |
|  |          |             | <b>* Sub-grants:</b> | <b>\$ 84,367.65</b>  |
|  |          |             | <b>Total:</b>        | <b>\$ 129,900.67</b> |

\* Sub-grants: includes outstanding encumbrances and/or obligated transactions and available funds.

**LIST OF FULL / PART TIME POSITIONS FUNDED BY  
 GRANT AWARD AND FISCAL YEAR**

STOP VAW Grant Program 2010-WF-AX-0071

FY 2011 2 full time positions: Grants administrator (GA), administrative assistant.

1 half time position: program coordinator.

Subgrantees: No positions funded.

FY 2012 2 part time positions: GA and administrative assistant.

Subgrantees: 5 part time positions: visitation monitor, security guard, director, bookkeeper, facilitator.

7 full time positions: program coordinator, program assistant, program aide, victim advocate, administrative assistant.

FY 2013 1 half time position: Administrative assistant.



Subgrantees: No positions funded.

STOP VAW Grant Program 2011-WF-AX-0020

FY 2011 No positions funded.

FY 2012 3 half time positions: GA, program coordinator and administrative assistant.

Subgrantees: 6 part time positions: visitation monitor, security guard, director, bookkeeper, facilitator, victim advocate.

FY 2013 2 Full time positions: GA and program coordinator.

1 half time position, administrative assistant

Subgrantees: 3 part time positions: director, bookkeeper and facilitator.

STOP VAW Grant Program 2012-WF-AX-0018

FY 2012 No positions funded.

Subgrantees: No positions funded.

FY 2013 2 Full time positions: GA and program coordinator

Subgrantees: Subgrantee contracts are in the process of being completed with local agencies.

SASP Grant Program 2011-KF-AX-0008

FY 2011 No positions funded.

FY 2012 No positions funded.

FY 2013 No positions funded.

SASP Grant Program 2012-KF-AX-0005

FY 2012 No positions funded.

FY 2013 No positions funded.

Community – Defined Solutions Grant Program 2010-WE-AX-0013

FY 2011 1 full time position: program coordinator

Subgrantees: 4 full time positions: administrative assistant, victim advocate, program coordinator

FY 2012 1 full time position: program coordinator

Subgrantees: 4 full time positions: administrative assistant, victim advocate, program coordinator

FY 2013 1 full time position: program coordinator

Subgrantees: 4 full time positions: administrative assistant, victim advocate, program coordinator

Safe Havens Grant Program 2010-CW-AX-K019

FY 2011 1 half time position: program coordinator

Subgrantees: No positions funded as of 03/15/2012; waiting on OVW approval re. policies and procedures.

FY 2012 1 half time position: program coordinator

Subgrantees: No positions funded as of 03/15/2012; waiting on OVW approval re. policies and procedures.

FY 2013 No positions funded. Waiting on OVW approval re. policies and procedures.

Subgrantees: No positions funded as of 03/15/2012; waiting on OVW approval re. policies and procedures.

### III. PROJECT NARRATIVE

**A. Overview of sexual assault services currently available to victims.** Throughout the territory, both government and non-government organizations (NGOs) provide services for victims of sexual assault – that includes women, men, and children. Government services carry out their individual mandates that address certain intervention, advocacy, referral, accompaniment and related assistances. NGOs also provide similar services. Such services also include those that address special needs/underserved populations such as the elderly, cognitively and physically challenged individuals.

**B. Efforts in place to address sexual assault** include those provided by:

**Government agencies** such as social service agencies and Criminal Justice System (CJS) which include: Guam Police Department (GPD), Office of the Attorney General (OAG) – Victim Witness Ayuda Services (VWAS), Judiciary of Guam (JOG) -- Marshals Office/Probation Division, Healing Hearts Crisis Center (HHCC) - Department of Mental Health & Substance Abuse (DMHSA), Department of Integrated Services for Individuals with Disabilities (DISID), Department of Public Health & Social Services (DPHSS), Department of Youth Affairs, etc. These agencies provide services that are called for by the mandates and missions of their respective departments.

**NGOs and public corporations**, to include faith and community-based organizations are: Alee Shelter, Archdiocese of Agana – Division of Pastoral Ministries, Elim Pacific Ministries-Oasis Empowerment Center, Guma'Mami, Inc. (*Our House, Inc.*), Guam Sexual Assault & Abuse Resource Center Association (GSAARCA), Guam Coalition Against Sexual Assault & Family Violence (GCASAFV), Immaculate Heart of Mary (IHOM) Catholic Parish, Public Defender Service Corporation (a public corporation) and Victim Advocates Reaching Out (VARO).

Between government and NGOs, victim services range from those that support rape crisis centers providing core services, direct intervention and related assistance to victims of sexual assault. These may also include a range of related services such as those provided DMHSA and DPHSS to include medical examinations/treatment/others such as sexual transmitted diseases, counseling services, intervention, law enforcement, prosecution, disposition of cases by the courts, victim advocacy, accountability, information and referral, emotional support, transportation for victims (if necessary) to court or appointments related to their cases, assistance in filing claims with the Criminal Injuries Compensation Commission, juvenile justice, various services for youth, childcare while victims are in court or meeting with prosecutor, victim notification of trial dates, case related information, case dispositions, restitution advocacy, assist requests for parole/release notification of perpetrators, etc.

On a rotational basis all program partners both government and NGOs contribute to scheduled outreach events, education and prevention services, etc. In addition, cross-training will be extended for program partners in coordination with STOP VAW program partners to include our community and military allies such as the Andersen Air Force Base Sexual Assault Response Center, Guam National Guard, Naval Criminal Investigations Section, and U.S. Navy Fleet and Family Support Services – Sexual Assault Victim Intervention.

Healing Hearts Crisis Center (HHCC), a division of the Department of Mental Health & Substance Abuse (DMHSA) is the only rape crisis center on the island. It was established by public law in 1992 and continues to be Guam's only rape crisis center. HHCC provides services 24 hours a day, seven days a week to sexual assault victims and their families. These services include intake and assessments, forensic interviews, forensic medical examinations, referrals to other support services, counseling, sexually transmitted diseases exams/treatment and/or other related testing services, etc.



**C. Subgrant awards will be made based on the:** 1) proposals received in response to the FY 2013 SASP solicitation distributed during GCO-FPO's regular subgrantee meeting; 2) how proposals address the FY 2013 program scope, statutory purposes, and special priority areas, etc.; 3) the significance of the applicant's proposal in terms of addressing gap areas; and 4) the applicant's record and/or history of compliance with project requirements, of related and other grant programs, etc.

**D. How SASP complements the STOP implementation program.** SASP project activities add to efforts that the STOP VAW projects are unable to provide. Essentially, these are gap areas identified through various forums, previously discussed as well as those provided by potential program partners. As an example, HHCC-DMHSA is the island's only rape crisis center. However, STOP VAW funds cannot be used to support services at HHCC simply because it is a government operation; as such, it is rendered unqualified to receive STOP funds. GSAARCA provides treatment and counseling services, training and several other supports to HHCC. SASP funds have been identified to provide support to GSAARCA. SASP complements the STOP VAW project by providing support to the rape crisis center. Related needs that can be provided by other potential program partners are those organizations listed on pages 8. Obviously, funding opportunities provided by the SASP will add for expansion of efforts and help to reinforce STOP VAW Program activities.

**E. Meaningful involvement of sexual assault coalition and representatives from underserved communities in developing the application, including:**

**1. Process and communication method used to involve the sexual assault coalition (SAC) and representatives from underserved communities.**

All of Guam fit the definition of underserved communities because of "...geographic location, underserved racial and ethnic populations, special needs population ...," etc. SAC and

representatives from underserved communities participate in 1) regular subgrantee monthly meetings called by GCO-FPO; 2) planning committee meetings; 3) other special meetings by related support organizations; 4) annual workshops and conferences; 5) written, telephonic and electronic communications; and 6) individual meetings and consultations with various agency and NGO heads to include the executive director of the sexual assault coalition, representatives from underserved communities, and all potential SAS program partners and community allies.

**2. Which underserved communities the State or Territory included in the above process and what methods were used to reach out and communicate with these communities.**

Communication methods include all of the above to reach out to these communities. In addition, annual conferences, various workshops and outreach events include underserved communities, to include special needs populations such as the elderly, cognitively and physically disabled, etc.

**3. How many times the State or Territory has met with the coalition and representatives from underserved communities to discuss and develop this application.** Since initial participation with SASP, GCO-FPO held monthly and special meetings with GSAARCA, GCASAFV and all other sub-grantees. This process may include: 1) Several telephonic and electronic communications with both potential as well as support program partners; 2) other community allies subsequent to submittal of proposals; and/or 3) follow-up requests for proposals, write-ups and/or updates from prospective subgrantees and/or sexual assault service program partners. A good majority of coalition members are also the same subgrantees since initial development, planning, and implementation in 1995 of the STOP VAW program. Follow-up calls and requests for additions and/or changes – subsequent to requests for proposals during regular monthly subgrantee meetings were made for last-minute inclusion, if any, to this application.

**4. Whether the coalition and representatives from underserved communities were provided an opportunity to review this application and provide comment, prior to its**

**submission.** Delayed dissemination of program solicitation renders limited review time for all concerned given that normal review and application development time normally take from 4 – 5 months. On a positive note, however, review of application and submission of comments are part of regular ongoing dialogue and interaction between program partners that take place during regular monthly meetings (to include one-to-one meetings) with subgrantees and/or mass communications that call for input.

**5. How Guam has incorporated feedback and input from the coalition and representatives from underserved communities into this application.** As previously stated, the entire population of Guam is underserved. In addition, a majority – if not all – coalition members are the same representatives that comprise program partners of the STOP VAW, including associated programs such as SASP. These partners actively take part in regular monthly meetings; joint or special planning committee meetings; annual family violence & sexual assault conference; faith-based conferences; workplace training workshops; and by individual meetings with government agencies and NGOs about possible participation and interaction in application development. Because of the limited budget allocations of the SASP, GSAARCA has been and will continue to be the sole recipient of funds. These funds provide support for a range of vital services for victims and their families that embrace the SASP program purposes and special areas of priority. Specific services funded are those that provide aid for the recovery of survivors, TA/other trainings, and outreach activities gauged at providing comprehensive victim services as discussed in ongoing dialogue through the following forums: proposals that respond to advance announcement of GCO-FPO plans during regular monthly meeting as well as those responding to mass email requests for input.

**F. Meaningful involvement of GCASAFV and representatives from underserved communities in implementing plans to administer SASP.**



**1. The territory of Guam, per above, works with SAC and representatives from underserved communities through ongoing dialogue and interaction in the various forums, as previously discussed. Some suggestions were incorporated into this application through proposals submitted by GSAARCA that also included ongoing discussions between GCO-FPO and the GCASAFV as well as GSAARCA. In March 2011, the GCASAFV conducted a Regional Summit where U.S. Territories and neighboring islands were represented; they also represented underserved communities. Subsequent discussions and meetings centered on reports summarizing the needs of respective island communities.**

**2. All of Guam is underserved; hence, all of Guam is included in the decision for funding distribution.**

**3. Methods used in reaching out to underserved communities to ensure active participation in implementing plans to administer SASP funds is previously addressed. This would obviously depend on whether the funding allocations to be provided would accommodate the budget proposed for this application. Otherwise, project funding decisions would rest with the GCO-FPO in consultation with program partners, as appropriate, and based on the following: 1) proposals received in response to GCO-FPO requests; 2) how proposals address the 2013 SASP program scope, statutory purposes, and program priorities, etc.; 3) the significance of the applicant's proposal in terms of addressing gap areas that are aligned with SASP; 4) the applicant's record and/or history of compliance with project requirements; and 5) consultation, as appropriate, with the sexual assault coalition, underserved population, and/or appropriate subgrantees of related programs administered by GCO-FPO.**

**4. The role that the territory of Guam envisions for the GCASAFV and representatives from underserved communities in implementing plans will be those previously discussed. Guam's SASP will be modeled after the STOP VAW program, e.g.: partnership building**

through outreach events and prevention services carried out island-wide; etc. engaging partners in regular monthly meetings and other regular forums that encourages input and feedback, etc. As previously mentioned, all of Guam will be included as all fall under “underserved communities.” Methods to be used to reach out to all underserved communities will include telephonic/electronic communications, newspaper or radio publications, regular monthly meetings, etc.

GCO-FPO also expects to use the STOP VAW model to implement plans: i.e., continuous engagement of program partners will aid in sustaining relationships and partnerships. Close collaboration/consultation with all involved would comprise of community allies, including those that are not a beneficiary of funds. GCO-FPO envisions the coalition and representatives from underserved communities to take up new initiatives, consultation, coordination and supporting roles in implementing the SASP plan similarly to the partnership charted under the Guam STOP VAW program model.

**G. Detailed description of procedures to be used by the territory to ensure equitable distribution of grants and grant funds within the territory and between urban and rural areas.** All SASP-funded projects will be providing services for all of Guam’s sexual assault victims. Depending on the grant allocation, all proposals that address program scope and special interest areas will be addressed. Projects to be supported will not distinguish whether funds are for an urban or rural area as all of Guam’s populations are underserved as previously mentioned.

**H. Brief summary of how the optional 5% administrative funds will be used.**

Depending on the state and territory allocation, five percent of funds will be used for central administration and program coordination, such as supplies, etc.

#### IV. PROPOSAL ABSTRACT

**Summary of Proposed Project.** Guam Sexual Assault Abuse Resource Center (GSAARCA) will be the sole recipient of project funds and will work closely with Department of Mental Health & Substance Abuse (DMHSA) - Healing Hearts Crisis Center (HHCC) – Guam’s only rape crisis center – to help improve services for victims of sexual assault. Enhancement of services will be those that are closely aligned with the statutory purposes or areas of priority under the SASP.

Depending on funding availability, these may include the following general areas such as: 1) intake and assessments; 2) forensic medical examinations; 3) referrals to other support services and/or other related services; 4) technical assistance and/or training support in coordination with GCO-FPO; and/or 5) strategies and interventions to end sexual assault.

**Organizations to be involved** will primarily include GSAARCA, DMHSA-HHCC, GCO-FPO and support organizations and/or all program partners (government and NGOs alike).

**What Will be Done.** As stated above.

**Service Area** will include all individuals who seek services at DMHSA-HHCC, the only rape crisis of the island territory of Guam.

**The proposal will impact** all individuals who seek services at DMHSA-HHCC; hence, all of the island territory, as per above.



## APPENDIX A

### Letters Demonstrating Meaningful Involvement



#### GUAM COALITION AGAINST SEXUAL ASSAULT & FAMILY VIOLENCE

P.O. BOX 1093 HAGATNA, GUAM 96932  
PHONE: (671) 479-2277 FAX: (671) 479-7233  
EMAIL: INFO@GUAMCOALITION.ORG

April 23, 2012

Acting Director Beatrice Hanson  
Office on Violence Against Women  
145 N St., NE, Suite 10W.121  
Washington, D.C. 20530

REF: 2012 Sexual Assault Services Formula Grant Program (SASP)  
**Letter Demonstrating Meaningful Involvement**

Dear Director Hanson:

The Guam Coalition Against Sexual Assault and Family Violence is a STOP Violence Against Women Subgrantee. As with all other organizations on Guam, our efforts focus on targeting underserved and culturally specific communities, namely Chamorros, Filipinos, Chuukese and other Micronesians, the elderly, persons with disabilities and families. The communities we serve are reflective of the culturally diverse population of our island.

We are committed to working collaboratively with our partners to develop the SASP Formula Grant Program and to implement the SASP project goals and activities. As a STOP VAW subgrantee, we regularly attend the Governor's Community Outreach-Federal Programs Office (GCO-FPO) monthly subgrantee meetings and participate in GCO-FPO sponsored workshops and other coalition and community partner activities.

The Guam Coalition Against Sexual Assault and Family Violence is committed to working with GCO-FPO, government, and private non-profit partners to ensure projects and activities are implemented and promote the safety, confidentiality and economic independence of victims of domestic violence, sexual assault and stalking.

Sincerely,

Cynthia C. Cabot, MPA  
Executive Director

April 8, 2013

Beatrice Hanson, Acting Director  
Office of Violence Against Women  
145 N St., NE, Suite 10W.121  
Washington, D.C. 20530

REF: 2013 Sexual Assault Services Formula Grant Program (SASP)  
Letter Demonstrating Meaningful Involvement

Dear Director Hanson:


The Guam Sexual Assault & Abuse Resource Center Association (SAARCA) is a member of the Guam Coalition Against Sexual Assault and Family Violence. As with other organizations on Guam, we represent underserved and culturally specific communities.

We are committed to participate meaningfully through:

- Developing the SASP Formula Grant Program;
- Implementing SASP project goals and activities;
- Governor's Community Outreach-Federal Programs Office (GCO-FPO) regular monthly Subgrantee meetings;
- GCO-FPO sponsored workplace trainings/workshops;
- GCO-FPO sponsored annual Family Violence Awareness Conferences;
- Family Violence & Sexual Assault Task Force meetings and;
- Other coalition and community partner activities/meetings.

SAARCA is committed to working with GCO-FPO, government and non-government partners to ensure projects and activities are implemented to promote medical care, counseling services, sexual assault awareness and community outreach programs and other support services to assist victims of sexual assault and domestic violence.

Sincerely,

  
Ellen P. Bez, MD, FACP  
President



ELLEN P. BEZ, MD, FACP  
President

ANNIE U. BORDALLO, MD  
Treasurer

LYDIA DÍAZ TENORIO  
Secretary

LOU LEON GUERRERO  
Board Member

JOANN RIVERA  
Board Member

133 Lagoon Drive Tamuning, Guam 96913 ♦ Telephone: 671 646-3960 ♦ Fax: 671 649-3983 ♦ Email: guamsaarca@yahoo.com


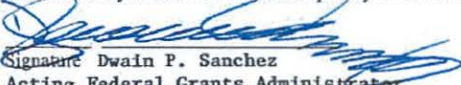


**APPENDIX B**  
**Application for Federal Assistance: SF 424**

|   |  |                                     |
|---|--|-------------------------------------|
| <b>APPLICATION FOR FEDERAL ASSISTANCE</b>   | <b>2. DATE SUBMITTED</b>   | <b>Applicant Identifier</b>         |
| <b>1. TYPE OF SUBMISSION</b>  | <b>3. DATE RECEIVED BY STATE</b>   | <b>State Application Identifier</b> |
| Application Non-Construction  | <b>4. DATE RECEIVED BY FEDERAL AGENCY</b>  | <b>Federal Identifier</b>           |
| <b>5. APPLICANT INFORMATION</b>   |  |                                     |
| <b>Legal Name</b>   | <b>Organizational Unit</b>   |                                     |
| Office of The Governor  | Governors Community Outreach Federal Programs Office   |                                     |
| <b>Address</b>  | <b>Name and telephone number of the person to be contacted on matters involving this application</b>                   |                                     |
| P. O. Box 2950<br>Hagatna, Guam<br>96932-2950   | Morrison, Cecilia<br>(671) 475-9162  |                                     |
| <b>6. EMPLOYER IDENTIFICATION NUMBER (EIN)</b>  | <b>7. TYPE OF APPLICANT</b>  |                                     |
| 98-0018947  | US Territory or Possession   |                                     |
| <b>8. TYPE OF APPLICATION</b>   | <b>9. NAME OF FEDERAL AGENCY</b>   |                                     |
| Continuation  | Office on Violence Against Women   |                                     |
| <b>10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE</b>   | <b>11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT</b>  |                                     |
| NUMBER: 16.017<br>CFDA 16.017 Sexual Assault Services<br>TITLE: Program   | OVW FY 2013 Sexual Assault Services Formula Grant Program  |                                     |
| <b>12. AREAS AFFECTED BY PROJECT</b>  |  |                                     |
| Guam Island-wide  |  |                                     |
| <b>13. PROPOSED PROJECT</b>   | <b>14. CONGRESSIONAL DISTRICTS OF</b>  |                                     |
| Start Date: August 01, 2013<br>End Date: July 31, 2015  | a. Applicant<br>b. Project GU00  |                                     |
| <b>15. ESTIMATED FUNDING</b>  | <b>16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?</b>                                    |                                     |
| Federal \$20,841  | This preapplication/application was made available to the state executive order 12372 process for review on 04/24/2013 |                                     |
| Applicant \$0   |  |                                     |
| State \$0   |  |                                     |
| Local \$0   |  |                                     |
| Other \$0   |  |                                     |
| Program Income \$0  | <b>17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?</b>  |                                     |
| TOTAL \$20,841  | N  |                                     |
| <b>18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION PREAPPLICATION ARE TRUE AND CORRECT, THE DOCUMENT HAS BEEN DULY AUTHORIZED BY GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS REQUIRED.</b> |  |                                     |



**APPENDIX C**  
**Standard Assurances and Certification Regarding Lobbying;  
Debarment, Suspension, & Other Responsibilities (Form: 4061-6)**

|  |  |
|--|--|
|   | OMB APPROVAL NO. 1121-0140<br>EXPIRES 06/30/2009 |
| <b>STANDARD ASSURANCES</b>   |  |
| <p>The Applicant hereby assures and certifies compliance with all applicable Federal statutes, regulations, policies, guidelines, and requirements, including OMB Circulars A-21, A-87, A-102, A-110, A-122, A-133; Ex. Order 12372 (intergovernmental review of federal programs); and 28 C.F.R. pts. 66 or 70 (administrative requirements for grants and cooperative agreements). The applicant also specifically assures and certifies that:</p>   |  |
| <p>1. It has the legal authority to apply for federal assistance and the institutional, managerial, and financial capability (including funds sufficient to pay any required non-federal share of project cost) to ensure proper planning, management, and completion of the project described in this application.</p>  |  |
| <p>2. It will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.</p>  |  |
| <p>3. It will give the awarding agency or the General Accounting Office, through any authorized representative, access to and the right to examine all paper or electronic records related to the financial assistance.</p>  |  |
| <p>4. It will comply with all lawful requirements imposed by the awarding agency, specifically including any applicable regulations, such as 28 C.F.R. pts. 18, 22, 23, 30, 35, 38, 42, 61, and 63, and the award term in 2 C.F.R. § 175.15(b).</p>  |  |
| <p>5. It will assist the awarding agency (if necessary) in assuring compliance with section 106 of the National Historic Preservation Act of 1966 (16 U.S.C. § 470), Ex. Order 11593 (identification and protection of historic properties), the Archeological and Historical Preservation Act of 1974 (16 U.S.C. § 469 a-1 et seq.), and the National Environmental Policy Act of 1969 (42 U.S.C. § 4321).</p>  |  |
| <p>6. It will comply (and will require any subgrantees or contractors to comply) with any applicable statutorily-imposed nondiscrimination requirements, which may include the Omnibus Crime Control and Safe Streets Act of 1968 (42 U.S.C. § 3789d); the Victims of Crime Act (42 U.S.C. §10604(e)); The Juvenile Justice and Delinquency Prevention Act of 2002 (42 U.S.C. § 5672(b)); the Civil Rights Act of 1964 (42 U.S.C. § 2000d); the Rehabilitation Act of 1973 (29 U.S.C. § 794); the Americans with Disabilities Act of 1990 (42 U.S.C. § 12131-34); the Education Amendments of 1972 (20 U.S.C. §§1681, 1683, 1685-86); and the Age Discrimination Act of 1975 (42 U.S.C. §§ 6101-07); see Ex. Order 13279 (equal protection of the laws for faith-based and community organizations).</p> |  |
| <p>7. If a governmental entity—</p>  |  |
| <p style="padding-left: 40px;">a) it will comply with the requirements of the Uniform Relocation Assistance and Real Property Acquisitions Act of 1970 (42 U.S.C. § 4601 et seq.), which govern the treatment of persons displaced as a result of federal and federally-assisted programs; and</p>   |  |
| <p style="padding-left: 40px;">b) it will comply with requirements of 5 U.S.C. §§ 1501-08 and §§7324-28, which limit certain political activities of State or local government employees whose principal employment is in connection with an activity financed in whole or in part by federal assistance.</p>  |  |
| <p><br/>Signature Dwain P. Sanchez<br/>Acting Federal Grants Administrator</p>  | <p>April 22, 2013<br/>Date</p>                   |

U.S. DEPARTMENT OF JUSTICE  
OFFICE OF JUSTICE PROGRAMS  
OFFICE OF THE COMPTROLLER

**CERTIFICATIONS REGARDING LOBBYING; DEBARMENT,  
SUSPENSION AND OTHER RESPONSIBILITY MATTERS  
AND  
DRUG-FREE WORKPLACE REQUIREMENTS**

Applicants should refer to the regulations cited below to determine the certification to which they are required to attest. Applicants should also review the instructions for certification included in the regulations before completing this form. Signature of this form provides for compliance with certification requirements under 28 CFR Part 69, "New Restrictions on Lobbying" and 28 CFR Part 67, "Government-wide Debarment and Suspension (Non-procurement) and Government-wide Requirements for Drug-Free Workplace (Grants)." The certifications shall be treated as a material representation of fact upon which reliance will be placed when the Department of Justice determines to award the covered transaction, grant, or cooperative agreement.

**1. LOBBYING**

As required by Section 1352, Title 31 of the U.S. Code, and implemented at 28 CFR Part 69, for persons entering into a grant or cooperative agreement over \$100,000, as defined at 28 CFR Part 69, the applicant certifies that:

(a) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the making of any Federal grant, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal grant or cooperative agreement;

(b) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal grant or cooperative agreement, the undersigned shall complete and submit Standard Form - LLL, "Disclosure of Lobbying Activities," in accordance with its instructions;

(c) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subgrants, contracts under grants and cooperative agreements, and subcontracts) and that all sub-recipients shall certify and disclose accordingly.

**2. DEBARMENT, SUSPENSION, AND OTHER RESPONSIBILITY MATTERS  
(DIRECT RECIPIENT)**

As required by Executive Order 12549, Debarment and Suspension, and implemented at 28 CFR Part 67, for prospective participants in primary covered transactions, as defined at 28 CFR Part 67, Section 67.510

**A. The applicant certifies that it and its principals:**

(a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, sentenced to a denial of Federal benefits by a State or Federal court, or voluntarily excluded from covered transactions by any Federal department or agency;

(b) Have not within a three-year period preceding this application been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

(c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and (d) Have not within a three-year period preceding this application had one or more public transactions (Federal, State, or local) terminated for cause or default; and

**B. Where the applicant is unable to certify to any of the statements in this certification, he or she shall attach an explanation to this application.**

**3. DRUG-FREE WORKPLACE (GRANTEES OTHER THAN INDIVIDUALS)**

As required by the Drug-Free Workplace Act of 1988, and implemented at 28 CFR Part 67, Subpart F, for grantees, as defined at 28 CFR Part 67 Sections 67.615 and 67.620

**A. The applicant certifies that it will or will continue to provide a drug-free workplace by:**

(a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;

(b) Establishing an on-going drug-free awareness program to inform employees about

(1) The dangers of drug abuse in the workplace;

(2) The grantee's policy of maintaining a drug-free workplace;



(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;

(c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);

(d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

(e) Notifying the agency, in writing, within 10 calendar days after receiving notice under subparagraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to:

Department of Justice  
Office of Justice Programs  
ATTN: Control Desk  
810 Seventh Street, N.W.,  
Washington, D.C. 20531

Notice shall include the identification number(s) of each affected grant;

(f) Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph (d)(2), with respect to any employee who is so convicted

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

(g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e), and (f).

B. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

Check \_\_\_ if there are workplaces on file that are not identified here.

Section 67, 630 of the regulations provides that a grantee that is a State may elect to make one certification in each Federal fiscal year. A copy of which should be included with each application for Department of Justice funding. States and State agencies may elect to use OJP Form 4061/7.

Check \_\_\_ if the State has elected to complete OJP Form 4061/7.

---

**DRUG-FREE WORKPLACE (GRANTEES WHO ARE INDIVIDUALS)**

As required by the Drug-Free Workplace Act of 1988, and implemented at 28 CFR Part 67, Subpart F, for grantees, as defined at 28 CFR Part 67; Sections 67.615 and 67.620

A. As a condition of the grant, I certify that I will not engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance in conducting any activity with the grant; and

B. If convicted of a criminal drug offense resulting from a violation occurring during the conduct of any grant activity, I will report the conviction, in writing, within 10 calendar days of the conviction, to:

Department of Justice  
Office of Justice Programs  
ATTN: Control Desk  
810 Seventh Street, N.W.,  
Washington, D.C. 20531

As the duly authorized representative of the applicant, I hereby certify that the applicant will comply with the above certifications.

1. Grantee Name and Address:

Governor's Community Outreach-Federal programs Office

P.O. Box 2950, Hagatna GU 96932

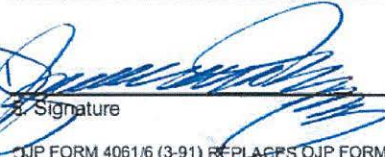
2. Application Number and/or Project Name:

2013-X1317-GU-KF

3. Grantee IRS/Vendor Number 98-0018947/000000022

4. Type/Print Name and Title of Authorized Representative

Dwain P. Sanchez, Acting Federal Grants Administrator



5. Signature

April 22, 2013

6. Date

OJP FORM 4061/6 (3-91) REPLACES OJP FORMS 4061/2, 4061/3 AND 4061/4 WHICH ARE OBSOLETE.  
OFFICE OF JUSTICE PROGRAMS BJA NIJ OJJDP BJS OVC



**APPENDIX D**  
**Letter of Non-supplanting**



**Eddie Baza Calvo**  
Governor

**Ray Tenorio**  
Lieutenant Governor

*Governor's Community Outreach - Federal Programs Office*

GCO-FPO  
Cecilia A.Q. Morrison  
Federal Grants Administrator

Dwain P. Sanchez  
Program Coordinator

Evonnie O. Hocog  
Program Coordinator

April 22, 2013

Bea Hanson, Acting Director  
U.S. Department of Justice  
Office on Violence Against Women  
145 N Street, NE  
Suite 10W, Room 121  
Washington, DC 20530

Reference: OVW Fiscal Year 2013 Sexual Assault Services Formula Grant Program  
Application No. 2013-X1317-GU-KF, CFDA: 16.017, DUNS: 855 031 741

Dear Acting Director Hanson:

The Governor's Community Outreach-Federal Programs Office (GCO-FPO) certifies that any funds awarded through GCO-FPO will be used to supplement existing funds for program activities and will not replace (supplant) nonfederal funds that have been appropriated for the purpose of providing services to victims of sexual assault, domestic violence, dating violence and stalking. GCO-FPO understands that supplanting violations can result in a range of penalties, including suspension of future funds under this program, suspension or debarment from federal grants, recoupment of monies provided under this grant, and civil and/or criminal penalties.

Sincerely,

Dwain P. Sanchez  
Acting Federal Grants Administrator

513 West Marine Corps Drive • Ricardo J. Bordallo Governor's Complex • Hagatna, Guam 96910  
P.O. Box 2950 • Hagatna • Guam • 96932  
Tel: 671-475-9162/78/79 • Fax: 671-300-7575 • E-mail: gcofpo@guam.gov



## Guam Coalition Against Sexual Assault & Family Violence

P.O. Box 1093 Hagatna, Guam 96932 \* Phone: (671) 479-2277 \* Fax: (671) 479-7233

Email: [info@guamcoalition.org](mailto:info@guamcoalition.org) \* Online: [www.GuamCoalition.org](http://www.GuamCoalition.org)

April 23, 2013

Acting Director Beatrice Hanson  
Office on Violence Against Women  
145 N. St., NE, Suite 10W.121  
Washington, D.C. 20530

Dear Director Hanson:

The Guam Coalition Against Sexual Assault and Family Violence certifies that any funds awarded through the Sexual Assault Service Program will be used to supplement any existing funds for program activities and will not replace (supplant) nonfederal funds that have been appropriated for the purpose of providing services to victims of domestic violence, dating violence, intervention, advocacy, accompaniment, support services and child victimization.

The Guam Coalition Against Sexual Assault and Family Violence understands that supplanting violations can result in a range of penalties, including suspension of future funds under this program, suspension or debarment from federal grants, recoupment of monies provided under this grant, and civil and/or criminal penalties.

Sincerely,

CYNTHIA C. CABOT, MPA  
Executive Director

April 8, 2013

Acting Director Beatrice Hanson  
Office on Violence Against Women  
145 N St., NE, Suite 10W.121  
Washington, D.C. 20530

REF: 2013 Sexual Assault Services Formula Grant Program (SASP)

Dear Director Hanson,

**Guam Sexual Assault & Abuse Resource Center Association**  
certifies that any funds awarded through the **2013 Sexual Assault Services Program (SASP)**  
will be used to supplement existing funds for program activities and will not  
replace (supplant) nonfederal funds that have been appropriated for the purpose  
of providing services to victims of domestic violence, dating violence,  
intervention, advocacy, accompaniment, support services and child victimization.

The **Guam Sexual Assault & Abuse Resource Center Association** understands  
that supplanting violations can result in a range of penalties, including suspension  
of future funds under this program, suspension or debarment from federal grants,  
recoupment of monies provided under this grant, and civil and/or criminal penalties.

Sincerely,



Ellen P. Bez, MD, FACP  
President  
Guam SAARCA



ELLEN P. BEZ, MD, FACP  
President

ANNIE U. BORDALLO, MD  
Treasurer

LYDIA DIAZ TENORIO  
Secretary

LOU LEON GUERRERO  
Board Member

JOANN RIVERA  
Board Member

---

133 Lagoon Drive Tamuning, Guam 96913 ♦ Telephone: 671 646-3960 ♦ Fax: 671 649-3983 ♦ Email: guamsaarca@yahoo.com



## APPENDIX E Indirect Cost Agreement



United States Department of the Interior  
NATIONAL BUSINESS CENTER  
Indirect Cost Services  
2180 Harvard Street, Suite 430  
Sacramento, CA 95815



October 25, 2011


Mr. John A. Rios, Director  
Government of Guam  
Bureau of Budget and Management Research  
P.O. Box 2950  
Hagatna, Guam 96932

Dear Mr. Rios:

Enclosed is an original copy of the Indirect Cost Negotiation Agreement for the 12-month period ending September 30, 2011, between the Federal Government and the Government of Guam, Bureau of Budget and Management Research.

Please visit our Web site at <http://www.aqd.nbc.gov/ics> for guidance and updates on submitting indirect cost proposals. In addition, you will find helpful tools such as a completeness checklist, indirect cost and lobbying certificates, sample proposals, Excel worksheet templates, and important links to other Web sites.

Sincerely,

  
Deborah A. Moberly  
Indirect Cost Coordinator

Enclosure

Ref: J:Guam/GGOV001/Issue.ltr



*We want to hear from you! Please let us know how we are doing in meeting your needs by taking a short survey at: <http://www.aqd.nbc.gov/survey>.*

Phone: (916) 566-7111  
Fax: (916) 566-7110



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**State And Local Department/Agency  
 Indirect Cost Negotiation Agreement**

EIN: 98-0018947

**Organization:**

**Date:** October 25, 2011

Government of Guam  
 Bureau of Budget and Management Research  
 P.O. Box 2950  
 Hagatna, Guam 96932

**Report No(s):** 12-A-0054

**Last Negotiation Agreement  
 dated June 29, 2010**

The indirect cost rates contained herein are for use on grants, contracts, and other agreements with the Federal Government to which 2 CFR 225 (OMB Circular A-87) applies, subject to the limitations in Section II.A. of this agreement. The rates were negotiated by the U.S. Department of the Interior, National Business Center, and the subject organization in accordance with the authority contained in 2 CFR 225.

**Section I: Rates**

| Type               | Effective Period |          | Rate*  | Locations | Applicable To    |
|--------------------|------------------|----------|--------|-----------|------------------|
|                    | From             | To       |        |           |                  |
| Fixed Carryforward | 10/01/10         | 09/30/11 | 0.00%  | All       | AHRD             |
| Fixed Carryforward | 10/01/10         | 09/30/11 | 51.15% | All       | Stats/Plans      |
| Fixed Carryforward | 10/01/10         | 09/30/11 | 45.17% | All       | Agriculture      |
| Fixed Carryforward | 10/01/10         | 09/30/11 | 68.07% | All       | Mental Health    |
| Fixed Carryforward | 10/01/10         | 09/30/11 | 0.00%  | All       | Military Affairs |
| Fixed Carryforward | 10/01/10         | 09/30/11 | 38.12% | All       | Parks & Rec.     |
| Fixed Carryforward | 10/01/10         | 09/30/11 | 12.36% | All       | DPHSS            |
| Fixed Carryforward | 10/01/10         | 09/30/11 | 44.48% | All       | Public Works     |
| Fixed Carryforward | 10/01/10         | 09/30/11 | 46.58% | All       | DISID            |
| Fixed Carryforward | 10/01/10         | 09/30/11 | 39.15% | All       | Youth Affairs    |
| Fixed Carryforward | 10/01/10         | 09/30/11 | 0.00%  | All       | CAHA             |
| Fixed Carryforward | 10/01/10         | 09/30/11 | 0.00%  | All       | Energy           |
| Fixed Carryforward | 10/01/10         | 09/30/11 | 0.00%  | All       | GEPA             |
| Fixed Carryforward | 10/01/10         | 09/30/11 | 53.49% | All       | Library          |
| Fixed Carryforward | 10/01/10         | 09/30/11 | 0.00%  | All       | Governor         |
| Fixed Carryforward | 10/01/10         | 09/30/11 | 0.00%  | All       | Child Support    |
| Fixed Carryforward | 10/01/10         | 09/30/11 | 0.00%  | All       | Crime Victims    |
| Fixed Carryforward | 10/01/10         | 09/30/11 | 20.33% | All       | Rev. & Taxation  |
| Fixed Carryforward | 10/01/10         | 09/30/11 | 11.23% | All       | Police           |
| Fixed Carryforward | 10/01/10         | 09/30/11 | 58.85% | All       | Labor            |

\*Base: Total direct salaries and wages, excluding fringe benefits.

Treatment of fringe benefits: Fringe benefits applicable to direct salaries and wages are treated as direct costs; fringe benefits applicable to indirect salaries and wages are treated as indirect costs.

**Section II: General**

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**A. Limitations:** Use of the rates contained in this agreement is subject to any applicable statutory limitations. Acceptance of the rates agreed to herein is predicated upon these conditions: (1) no costs other than those incurred by the subject organization were included in its indirect cost rate proposal, (2) all such costs are the legal obligations of the grantee/contractor, (3) similar types of costs have been accorded consistent treatment, and (4) the same costs that have been treated as indirect costs have not been claimed as direct costs (for example, supplies can be charged directly to a program or activity as long as these costs are not part of the supply costs included in the indirect cost pool for central administration).

**B. Audit:** All costs (direct and indirect, federal and non-federal) are subject to audit. Adjustments to amounts resulting from audit of the cost allocation plan or indirect cost rate proposal upon which the negotiation of this agreement was based will be compensated for in a subsequent negotiation.

**C. Changes:** The rates contained in this agreement are based on the organizational structure and the accounting system in effect at the time the proposal was submitted. Changes in organizational structure, or changes in the method of accounting for costs which affect the amount of reimbursement resulting from use of the rates in this agreement, require the prior approval of the responsible negotiation agency. Failure to obtain such approval may result in subsequent audit disallowance.

**D. Fixed Carryforward Rate:** The fixed carryforward rate is based on an estimate of the costs that will be incurred during the period for which the rate applies. When the actual costs for such period have been determined, an adjustment will be made to the rate for a future period, if necessary, to compensate for the difference between the costs used to establish the fixed rate and the actual costs.

**E. Agency Notification:** Copies of this document may be provided to other federal offices as a means of notifying them of the agreement contained herein.

**F. Record Keeping:** Organizations must maintain accounting records that demonstrate that each type of cost has been treated consistently either as a direct cost or an indirect cost. Records pertaining to the costs of program administration, such as salaries, travel, and related costs, should be kept on an annual basis.

**G. Reimbursement Ceilings:** Grantee/contractor program agreements providing for ceilings on indirect cost rates or reimbursement amounts are subject to the ceilings stipulated in the contract or grant agreements. If the ceiling rate is higher than the negotiated rate in Section I of this agreement, the negotiated rate will be used to determine the maximum allowable indirect cost.

**H. Use of Other Rates:** If any federal programs are reimbursing indirect costs to this grantee/contractor by a measure other than the approved rates in this agreement, the grantee/contractor should credit such costs to the affected programs and the approved rates should be used to identify the maximum amount of indirect cost allocable to these programs.

**I. Central Service Costs:** Where central service costs are estimated for the calculation of indirect cost rates, adjustments will be made to reflect the difference between provisional and final amounts.

**J. Other:**

1. The purpose of an indirect cost rate is to facilitate the allocation and billing of indirect costs. Approval of the indirect cost rates does not mean that an organization can recover more than the actual costs of a particular program or activity.



Section II: General (continued)

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2. Programs received or initiated by the organization subsequent to the negotiation of this agreement are subject to the approved indirect cost rate if the programs receive administrative support from the indirect cost pool. It should be noted that this could result in an adjustment to a future rate.

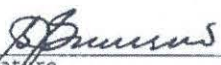
3. New indirect cost proposals are necessary to obtain approved indirect cost rates for future fiscal or calendar years. The proposals are due in our office 6 months prior to the beginning of the year to which the proposed rates will apply.

Section III: Acceptance

Listed below are the signatures of acceptance for this agreement:

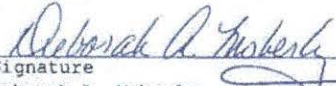
By the State Department/Agency:

By the Cognizant Federal Government Agency:

 /s/  
Signature  
John A. Rios  
Name (Type or Print)

Acting Director, BBMR  
Title

10/10/11  
Date

 /s/  
Signature

Deborah A. Moberly  
Name  
Indirect Cost Coordinator  
Indirect Cost Services  
Title

U.S. Department of the Interior  
Agency

National Business Center  
Date

OCT 25 2011  
Negotiated by Te Lam-Vi  
Telephone (916) 566-7109